

## SEMINAR PROGRAMME

<b>Compliance Insights - Market Abuse, Product Governance, Best Execution, Assessment of Appropriateness &amp; Disaster Recovery</b>		
<b>Time</b>	<b>Duration</b>	<b>Description</b>
9:00 – 10:30	1:30	<b>Market Abuse</b> <ul style="list-style-type: none"> <li>• What is insider dealing and market manipulation</li> <li>• Pre-trade and post-trade monitoring for market abuse</li> <li>• Real market manipulation cases</li> </ul>
10:30 – 11:30	1:00	<b>Product Governance</b> <ul style="list-style-type: none"> <li>• Product Governance Requirements – Design of products</li> <li>• Product Governance Requirements – Setting the target market</li> </ul>
11:30 – 11:45	0:15	<b>C O F F E E B R E A K</b>
11:45 – 12:45	1:00	<b>Best Execution</b> <ul style="list-style-type: none"> <li>• Best execution factors</li> <li>• Periodic monitoring of execution quality</li> </ul>
12:45 – 13:00	0:15	<b>C O F F E E B R E A K</b>
13:00 – 14:30	1:30	<b>Assessment of Appropriateness &amp; Disaster Recovery Plan</b> <ul style="list-style-type: none"> <li>• Assessment of appropriateness</li> <li>• Disaster recovery plan</li> <li>• Coronavirus reality</li> </ul>
<b>TOTAL NET DURATION</b>	<b>5:00</b>	<b>END OF SEMINAR</b>

### Certification:

All participants will be awarded a certificate. Each hour of attendance will account for one unit of Continuing Professional Development (CPD) as required for members of most professional bodies.

### In-house Seminars:

Globaltraining has been cooperating with many companies in Cyprus and abroad for covering their in-house training needs. Some of these companies are large multinational companies with presence in Cyprus and abroad. The clients we serve include a wide range of industries, including banking, accounting and audit, investment services firms, telecommunication, construction and retail. Tailor-made seminars are offered upon request from clients.