

SEMINAR PROGRAMME

| MiFID II: The Legal Framework and Main Requirements; Clients' assets rules | | |
|---|-----------------|--|
| Time | Duration | Description |
| 09:00-10:00 | 1:00 | Section 1: Legal framework of MIFIDII <ul style="list-style-type: none"> • What is Level 1, Level2 and Level 3 in MIFIDII framework? • Brief overview of main Directives and Regulations, for investment firms • Main concepts and definitions of the legislation: trading venues; systematic internaliser and OTC trading |
| 10:00-10:15 | 0:15 | C O F F E E B R E A K |
| 10:15-12:45 | 1:30 | Section 2: Specific elements of the framework <ul style="list-style-type: none"> • Organizational requirements for Investment Firms • Conduct rules regulated by MIFIDII for Investment firms • Reporting rules: Transaction reporting; Post-trade reporting; Regulatory reporting |
| 12:45-13:00 | 0:15 | C O F F E E B R E A K |
| 13:00-14:00 | 1:30 | Section 3: Rules for handling clients' money and financial instruments (clients' assets rules) <ul style="list-style-type: none"> • Main legal requirements for holding client's money • Requirements to the CIFs while holding and using clients' financial instruments |
| 14:00-14:30 | 0:30 | Questions and Answers |
| TOTAL NET DURATION | 5:00 | END OF SEMINAR |

Certification:

All participants will be awarded a certificate. Each hour of attendance will account for one unit of Continuing Professional Development (CPD) as required for members of most professional bodies.

In-house Seminars:

Globaltraining has been cooperating with many companies in Cyprus and abroad for covering their in-house training needs. Some of these companies are large multinational companies with presence in Cyprus and abroad. The clients we serve include a wide range of industries, including banking, accounting and audit, investment services firms, telecommunication, construction and retail. Tailor-made seminars are offered upon request from clients.